



Sheet 1 of 1

FORM PTO-1449

U.S. DEPARTMENT OF  
COMMERCE

PATENT AND  
TRADEMARK OFFICE

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

0185418

SERIAL NO.

09/990,123

APPLICANT

Dipiero, et al.

FILING DATE

November 21, 2001

GROUP

3627

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/VF/	2002/0184148	12-05-2002	Kahn et al.			
/VF/	2002/0169701	11-14-2002	Tarbox et al.			
/VF/	5,976,082	11-2-1999	Wong, et al.			

#### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	YES	NO
							YES	NO

#### OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

		AN EBRI SPECIAL REPORT AND ISSUE BRIEF NUMBER 124, March 1992; pp. 1-37
/VF/		Sourbeer, James N., "BROKER WORLD", Praire Village, March 1990, Vol. 10, ISS.3; pg. 36, 3 pgs., <a href="http://proquest.umi.com/pqdweb?index=0&amp;did=998138&amp;SrchMode=1&amp;sid=3&amp;Fmt=2&amp;Vinst=8/17/2006">http://proquest.umi.com/pqdweb?index=0&amp;did=998138&amp;SrchMode=1&amp;sid=3&amp;Fmt=2&amp;Vinst=8/17/2006</a>

EXAMINER /Vanell Frenel/

DATE  
CONSIDERED 05/26/2008

EXAMINER: Initial citation considered. Draw line through citation if not in the conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)